

APPLICATION FOR EXEMPTION FROM AUDIT

SHORT FORM

**NAME OF GOVERNMENT
ADDRESS**

Blue Lake Metropolitan District No. 4
c/o Community Resource Services of Colorado
7995 E. Prentice Avenue, Suite 103E
Greenwood Village, CO 80111
Sue Blair
303-481-4960
sblair@crsolorado.com
303-481-4961

**For the Year Ended
12/31/21
or fiscal year ended:**

**CONTACT PERSON
PHONE
EMAIL
FAX**

PART 1 - CERTIFICATION OF PREPARER

I certify that I am skilled in governmental accounting and that the information in the application is complete and accurate, to the best of my knowledge.

**NAME:
TITLE
FIRM NAME (if applicable)
ADDRESS
PHONE
DATE PREPARED**

Nancy Weiss
Accountant
Community Resource Services of Colorado
7995 E. Prentice Avenue, Suite 103E, Greenwood Village, CO 80111
303-381-4960
3/18/2022

PREPARER (SIGNATURE REQUIRED)

Nancy Weiss

Please indicate whether the following financial information is recorded using Governmental or Proprietary fund types

GOVERNMENTAL (MODIFIED ACCRUAL BASIS)	PROPRIETARY (CASH OR BUDGETARY BASIS)
<input checked="" type="checkbox"/>	<input type="checkbox"/>

PART 2 - REVENUE

REVENUE: All revenues for all funds must be reflected in this section, including proceeds from the sale of the government's land, building, and equipment, and proceeds from debt or lease transactions. Financial information will not include fund equity information.

Line#	Description	Round to nearest Dollar	Please use this space to provide any necessary explanations
2-1	Taxes: Property (report mills levied in Question 10-8)	\$ -	Please use this space to provide any necessary explanations
2-2	Specific ownership	\$ -	
2-3	Sales and use	\$ -	
2-4	Other (specify):	\$ -	
2-5	Licenses and permits	\$ -	
2-6	Intergovernmental: Grants	\$ -	
2-7	Conservation Trust Funds (Lottery)	\$ -	
2-8	Highway Users Tax Funds (HUTF)	\$ -	
2-9	Other (specify):	\$ -	
2-10	Charges for services	\$ -	
2-11	Fines and forfeits	\$ -	
2-12	Special assessments	\$ -	
2-13	Investment income	\$ -	
2-14	Charges for utility services	\$ -	
2-15	Debt proceeds (should agree with line 4-4, column 2)	\$ -	
2-16	Lease proceeds	\$ -	
2-17	Developer Advances received (should agree with line 4-4)	\$ -	
2-18	Proceeds from sale of capital assets	\$ -	
2-19	Fire and police pension	\$ -	
2-20	Donations	\$ -	
2-21	Other (specify):	\$ -	
2-22		\$ -	
2-23		\$ -	
2-24	(add lines 2-1 through 2-23) TOTAL REVENUE	\$ -	

PART 3 - EXPENDITURES/EXPENSES

EXPENDITURES: All expenditures for all funds must be reflected in this section, including the purchase of capital assets and principal and interest payments on long-term debt. Financial information will not include fund equity information.

Line#	Description	Round to nearest Dollar	Please use this space to provide any necessary explanations
3-1	Administrative	\$ -	Please use this space to provide any necessary explanations
3-2	Salaries	\$ -	
3-3	Payroll taxes	\$ -	
3-4	Contract services	\$ -	
3-5	Employee benefits	\$ -	
3-6	Insurance	\$ -	
3-7	Accounting and legal fees	\$ -	
3-8	Repair and maintenance	\$ -	
3-9	Supplies	\$ -	
3-10	Utilities and telephone	\$ -	
3-11	Fire/Police	\$ -	
3-12	Streets and highways	\$ -	
3-13	Public health	\$ -	
3-14	Capital outlay	\$ -	
3-15	Utility operations	\$ -	
3-16	Culture and recreation	\$ -	
3-17	Debt service principal (should agree with Part 4)	\$ -	
3-18	Debt service interest	\$ -	
3-19	Repayment of Developer Advance Principal (should agree with line 4-4)	\$ -	
3-20	Repayment of Developer Advance Interest	\$ -	
3-21	Contribution to pension plan (should agree to line 7-2)	\$ -	
3-22	Contribution to Fire & Police Pension Assoc. (should agree to line 7-2)	\$ -	
3-23	Other (specify):	\$ -	
3-24		\$ -	
3-25		\$ -	
3-26	(add lines 3-1 through 3-24) TOTAL EXPENDITURES/EXPENSES	\$ -	

If TOTAL REVENUE (Line 2-24) or TOTAL EXPENDITURES (Line 3-26) are GREATER than \$100,000 - **STOP**. You may not use this form. Please use the "Application for Exemption from Audit - LONG FORM".

PART 4 - DEBT OUTSTANDING, ISSUED, AND RETIRED

Please answer the following questions by marking the appropriate boxes.

- | | | | |
|------------|--|---------------------------------|---|
| 4-1 | Does the entity have outstanding debt?
If Yes, please attach a copy of the entity's Debt Repayment Schedule. | Yes
<input type="checkbox"/> | No
<input checked="" type="checkbox"/> |
| 4-2 | Is the debt repayment schedule attached? If no, MUST explain:
<div style="border: 1px solid black; height: 20px; width: 100%; margin-top: 5px;"></div> | <input type="checkbox"/> | <input type="checkbox"/> |
| 4-3 | Is the entity current in its debt service payments? If no, MUST explain:
<div style="border: 1px solid black; height: 20px; width: 100%; margin-top: 5px;"></div> | <input type="checkbox"/> | <input type="checkbox"/> |

Please complete the following debt schedule, if applicable: (please only include principal amounts)(enter all amount as positive numbers)	Outstanding at end of prior year*	Issued during year	Retired during year	Outstanding at year-end
General obligation bonds	\$ -	\$ -	\$ -	\$ -
Revenue bonds	\$ -	\$ -	\$ -	\$ -
Notes/Loans	\$ -	\$ -	\$ -	\$ -
Leases	\$ -	\$ -	\$ -	\$ -
Developer Advances	\$ -	\$ -	\$ -	\$ -
Other (specify):	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ -	\$ -	\$ -	\$ -

*must tie to prior year ending balance

- | | | | |
|---|---|-------------------------------------|-------------------------------------|
| Please answer the following questions by marking the appropriate boxes. | | Yes | No |
| 4-5 | Does the entity have any authorized, but unissued, debt?
If yes: How much? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Date the debt was authorized: | | |
| 4-6 | Does the entity intend to issue debt within the next calendar year?
If yes: How much? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 4-7 | Does the entity have debt that has been refinanced that it is still responsible for?
If yes: What is the amount outstanding? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 4-8 | Does the entity have any lease agreements?
If yes: What is being leased?
What is the original date of the lease?
Number of years of lease?
Is the lease subject to annual appropriation?
What are the annual lease payments? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

Please use this space to provide any explanations or comments:

PART 5 - CASH AND INVESTMENTS

Please provide the entity's cash deposit and investment balances.

		Amount	Total
5-1	YEAR-END Total of ALL Checking and Savings Accounts	\$ -	
5-2	Certificates of deposit	\$ -	
	Total Cash Deposits		\$ -
	Investments (if investment is a mutual fund, please list underlying investments):		
5-3		\$ -	
		\$ -	
		\$ -	
	Total Investments		\$ -
	Total Cash and Investments		\$ -

Please answer the following questions by marking in the appropriate boxes

- | | | | | |
|------------|---|--------------------------|--------------------------|-------------------------------------|
| | | Yes | No | N/A |
| 5-4 | Are the entity's investments legal in accordance with Section 24-75-601, et seq., C.R.S.? | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 5-5 | Are the entity's deposits in an eligible (Public Deposit Protection Act) public depository (Section 11-10.5-101, et seq. C.R.S.)? | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

If no, MUST use this space to provide any explanations:

PART 6 - CAPITAL ASSETS

Please answer the following questions by marking in the appropriate boxes.

Yes No

- 6-1 Does the entity have capital assets?** Yes No
- 6-2 Has the entity performed an annual inventory of capital assets in accordance with Section 29-1-506, C.R.S.,? If no, MUST explain:** Yes No

6-3 Complete the following capital assets table:

	Balance - beginning of the year'	Additions (Must be included in Part 3)	Deletions	Year-End Balance
Land	\$ -	\$ -	\$ -	\$ -
Buildings	\$ -	\$ -	\$ -	\$ -
Machinery and equipment	\$ -	\$ -	\$ -	\$ -
Furniture and fixtures	\$ -	\$ -	\$ -	\$ -
Infrastructure	\$ -	\$ -	\$ -	\$ -
Construction In Progress (CIP)	\$ -	\$ -	\$ -	\$ -
Other (explain):	\$ -	\$ -	\$ -	\$ -
Accumulated Depreciation	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ -	\$ -	\$ -	\$ -

Please use this space to provide any explanations or comments:

PART 7 - PENSION INFORMATION

Please answer the following questions by marking in the appropriate boxes.

Yes No

- 7-1 Does the entity have an "old hire" firefighters' pension plan?** Yes No
- 7-2 Does the entity have a volunteer firefighters' pension plan?** Yes No

If yes: **Who administers the plan?**

Indicate the contributions from:

Tax (property, SO, sales, etc.):		\$ -
State contribution amount:		\$ -
Other (gifts, donations, etc.):		\$ -
TOTAL		\$ -

What is the monthly benefit paid for 20 years of service per retiree as of Jan 17? \$ -

Please use this space to provide any explanations or comments:

PART 8 - BUDGET INFORMATION

Please answer the following questions by marking in the appropriate boxes.

Yes No N/A

- 8-1 Did the entity file a budget with the Department of Local Affairs for the current year in accordance with Section 29-1-113 C.R.S.?** Yes No N/A

- 8-2 Did the entity pass an appropriations resolution, in accordance with Section 29-1-108 C.R.S.? If no, MUST explain:** Yes No N/A

If yes: **Please indicate the amount budgeted for each fund for the year reported:**

Governmental/Proprietary Fund Name	Total Appropriations By Fund
General Fund	\$ 48,000

PART 9 - TAXPAYER'S BILL OF RIGHTS (TABOR)

Please answer the following question by marking in the appropriate box

9-1 Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?

Yes	No
<input checked="" type="checkbox"/>	<input type="checkbox"/>

Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.

If no, MUST explain:

PART 10 - GENERAL INFORMATION

Please answer the following questions by marking in the appropriate boxes.

Yes	No
-----	----

10-1 Is this application for a newly formed governmental entity?

<input type="checkbox"/>	<input checked="" type="checkbox"/>
--------------------------	-------------------------------------

If yes: Date of formation:

10-2 Has the entity changed its name in the past or current year?

<input type="checkbox"/>	<input checked="" type="checkbox"/>
--------------------------	-------------------------------------

If yes: Please list the NEW name & PRIOR name:

10-3 Is the entity a metropolitan district?

<input checked="" type="checkbox"/>	<input type="checkbox"/>
-------------------------------------	--------------------------

Please indicate what services the entity provides:

Streets, street lighting, water, sewer, storm drainage and parks & recreation.

10-4 Does the entity have an agreement with another government to provide services?

<input type="checkbox"/>	<input checked="" type="checkbox"/>
--------------------------	-------------------------------------

If yes: List the name of the other governmental entity and the services provided:

10-5 Has the district filed a Title 32, Article 1 Special District Notice of Inactive Status during

<input type="checkbox"/>	<input checked="" type="checkbox"/>
--------------------------	-------------------------------------

If yes: Date Filed:

10-6 Does the entity have a certified Mill Levy?

<input type="checkbox"/>	<input checked="" type="checkbox"/>
--------------------------	-------------------------------------

If yes: Please provide the following mills levied for the year reported (do not report \$ amounts):

Bond Redemption mills	-
General/Other mills	-
Total mills	-

Please use this space to provide any explanations or comments:

PART 11 - GOVERNING BODY APPROVAL

Please answer the following question by marking in the appropriate box

YES

NO

12-1 **If you plan to submit this form electronically, have you read the new Electronic Signature Policy?****Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedure****Policy - Requirements**

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as DocuSign or Echosign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit form created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
 - a. Include a copy of an adopted resolution that documents formal approval by the Board, or
 - b. Include electronic signatures obtained through a software program such as DocuSign or Echosign in accordance with the requirements noted above.

Print the names of ALL members of current governing body below. Print Board Member's Name		A MAJORITY of the members of the governing body must complete and sign in the column below.
Board Member 1	Mark Bush	I Mark Bush, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>[Signature]</u> Date: 3/23/2022 My term Expires: 2022
Board Member 2	Charles Foster	I Charles Foster, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>[Signature]</u> Date: 3/23/2022 My term Expires: 2023
Board Member 3	John Fair	I John Fair, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>[Signature]</u> Date: 3/23/2022 My term Expires: 2022
Board Member 4	Russel Watterson, Sr.	I Russel Watterson, Sr., attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>[Signature]</u> Date: 3/24/2022 My term Expires: 2022
Board Member 5	Timothy Craft	I Timothy Craft, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>[Signature]</u> Date: 3/24/2022 My term Expires: 2023
Board Member 6		I _____, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: _____ Date: _____ My term Expires: _____
Board Member 7		I _____, attest I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: _____ Date: _____ My term Expires: _____

Certificate Of Completion

Envelope Id: C7B8E4061D9846219233340583297D7B
Subject: Blue Lake MD NO. 4 - 2021 Audit Exemption
Source Envelope:
Document Pages: 7
Certificate Pages: 5
AutoNav: Enabled
Envelopeld Stamping: Enabled
Time Zone: (UTC-08:00) Pacific Time (US & Canada)

Status: Completed

Envelope Originator: Rhonda Bilek
rbilek@crsofcolorado.com
IP Address: 96.88.70.121

Record Tracking

Status: Original
3/23/2022 1:38:46 PM

Holder: Rhonda Bilek
rbilek@crsofcolorado.com

Location: DocuSign

Signer Events

Charles Foster
cfostld@aol.com
Security Level: Email, Account Authentication (None)

Signature

DocuSigned by: Charles Foster
3E38ADE208AE48E...

Timestamp

Sent: 3/23/2022 1:42:57 PM
Viewed: 3/23/2022 1:43:46 PM
Signed: 3/23/2022 1:44:10 PM

Signature Adoption: Pre-selected Style
Using IP Address: 107.2.240.204

Electronic Record and Signature Disclosure:
Accepted: 3/23/2022 1:43:46 PM
ID: dfe6e5c4-7b70-42ef-92ed-cc61669aad70

John Fair
jfair@fairenterprises.com
Security Level: Email, Account Authentication (None)

DocuSigned by: John Fair
9EAC499A871542D...

Sent: 3/23/2022 1:42:58 PM
Viewed: 3/23/2022 2:41:07 PM
Signed: 3/23/2022 2:41:16 PM

Signature Adoption: Drawn on Device
Using IP Address: 174.51.121.117

Electronic Record and Signature Disclosure:
Accepted: 3/23/2022 2:41:07 PM
ID: e98380c2-f825-4b2d-9b90-42f8064e0c9f

Mark Bush
mbush@concordpartnersllc.com
Security Level: Email, Account Authentication (None)

DocuSigned by: Mark Bush
4295EF64D9EE436...

Sent: 3/23/2022 1:42:58 PM
Viewed: 3/23/2022 3:22:10 PM
Signed: 3/23/2022 3:22:16 PM

Signature Adoption: Pre-selected Style
Using IP Address: 76.25.246.83

Electronic Record and Signature Disclosure:
Accepted: 3/23/2022 3:22:10 PM
ID: 97459e27-a416-4553-b232-79a53f027746

Russell Watterson Sr
balticpres@msn.com
Security Level: Email, Account Authentication (None)

DocuSigned by: Russell Watterson Sr
78FDE3DCDC948D...

Sent: 3/23/2022 1:42:59 PM
Viewed: 3/24/2022 8:42:55 AM
Signed: 3/24/2022 8:43:23 AM

Signature Adoption: Pre-selected Style
Using IP Address: 73.243.142.46

Electronic Record and Signature Disclosure:
Accepted: 3/24/2022 8:42:55 AM
ID: 0ffe4c5a-dee2-44c7-80b7-7ed47df4d173

Signer Events	Signature	Timestamp
Timothy Craft tim@craftcompaniesllc.com Principal Craft Companies, LLC Security Level: Email, Account Authentication (None)	DocuSigned by:  DB6B82F8841D4D1	Sent: 3/23/2022 1:42:59 PM Viewed: 3/24/2022 7:26:37 AM Signed: 3/24/2022 7:26:47 AM
Electronic Record and Signature Disclosure: Accepted: 3/24/2022 7:26:37 AM ID: f8a294e7-3356-4fa2-a99f-3b0fbfa1455a		

In Person Signer Events	Signature	Timestamp
Editor Delivery Events	Status	Timestamp
Agent Delivery Events	Status	Timestamp
Intermediary Delivery Events	Status	Timestamp
Certified Delivery Events	Status	Timestamp

Carbon Copy Events	Status	Timestamp
Lori Menk lorimenk@gmail.com Security Level: Email, Account Authentication (None)	COPIED	Sent: 3/23/2022 1:43:00 PM
Electronic Record and Signature Disclosure: Not Offered via DocuSign		
Nancy Weiss nweiss@crsofcolorado.com Security Level: Email, Account Authentication (None)	COPIED	Sent: 3/23/2022 1:43:00 PM
Electronic Record and Signature Disclosure: Accepted: 5/12/2021 8:11:54 AM ID: e1585ddc-1cf2-4bcb-8356-306260c346d7		

Witness Events	Signature	Timestamp
Notary Events	Signature	Timestamp
Envelope Summary Events	Status	Timestamps
Envelope Sent	Hashed/Encrypted	3/23/2022 1:43:00 PM
Certified Delivered	Security Checked	3/24/2022 7:26:37 AM
Signing Complete	Security Checked	3/24/2022 7:26:47 AM
Completed	Security Checked	3/24/2022 8:43:23 AM
Payment Events	Status	Timestamps
Electronic Record and Signature Disclosure		